

2/12/04
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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Application No.: 09/500,624
Filing Date: February 9, 2000
Applicant: Dean Amburn
Group Art Unit: 3628
Examiner: Harish T. Dass
Title: Method And Apparatus For Automated Trading of Equity Securities Using A Real Time Data Analysis
Attorney Docket: 2425-000001

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JAN 15 2004

GROUP 3600

Director of The United States Patent and Trademark Office
P.O. Box 1450
Alexandria, Virginia 22313-1450

LETTER

Sir:

On December 17, 2003, Applicant submitted an Information Disclosure Statement and corresponding Form 1449 in the above-referenced application. One of the cited references was a publication entitled "Trading as a Business" by Charlie F. Wright which inadvertently was not enclosed with the submission to the Patent Office.

Accordingly, Applicant submits herewith the publication entitled "Trading as a Business" by Charlie F. Wright, dated 1998.

Respectfully submitted,

Dated: 1/12/2004

By: 
Dean W. Amburn
Reg. No. 46,517

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3628

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(to be used for all correspondence after initial filing)

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Total Number of Pages in This Submission

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First Named Inventor	Dean Amburn
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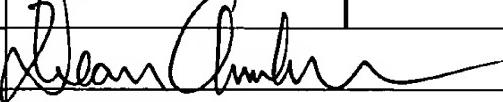
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ENCLOSURES (check all that apply)

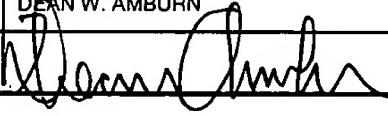
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Letter; Copy of publication "Trading as a Business"; return postcard.
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		Remarks The Commissioner is hereby authorized to charge any additional fees that may be required under 37 CFR 1.16 or 1.17 to Deposit Account No. 08-0750. A duplicate copy of this sheet is enclosed.
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Harness, Dickey & Pierce, P.L.C.	Attorney Name DEAN W. AMBURN	Reg. No. 46,517
Signature			
Date	JANUARY 12, 2004		

CERTIFICATE OF MAILING/TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service as express mail in an envelope addressed to: Director of the U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450, or facsimile transmitted to the U.S. Patent and Trademark Office on the date indicated below.

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Signature		Date	JANUARY 12, 2004

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